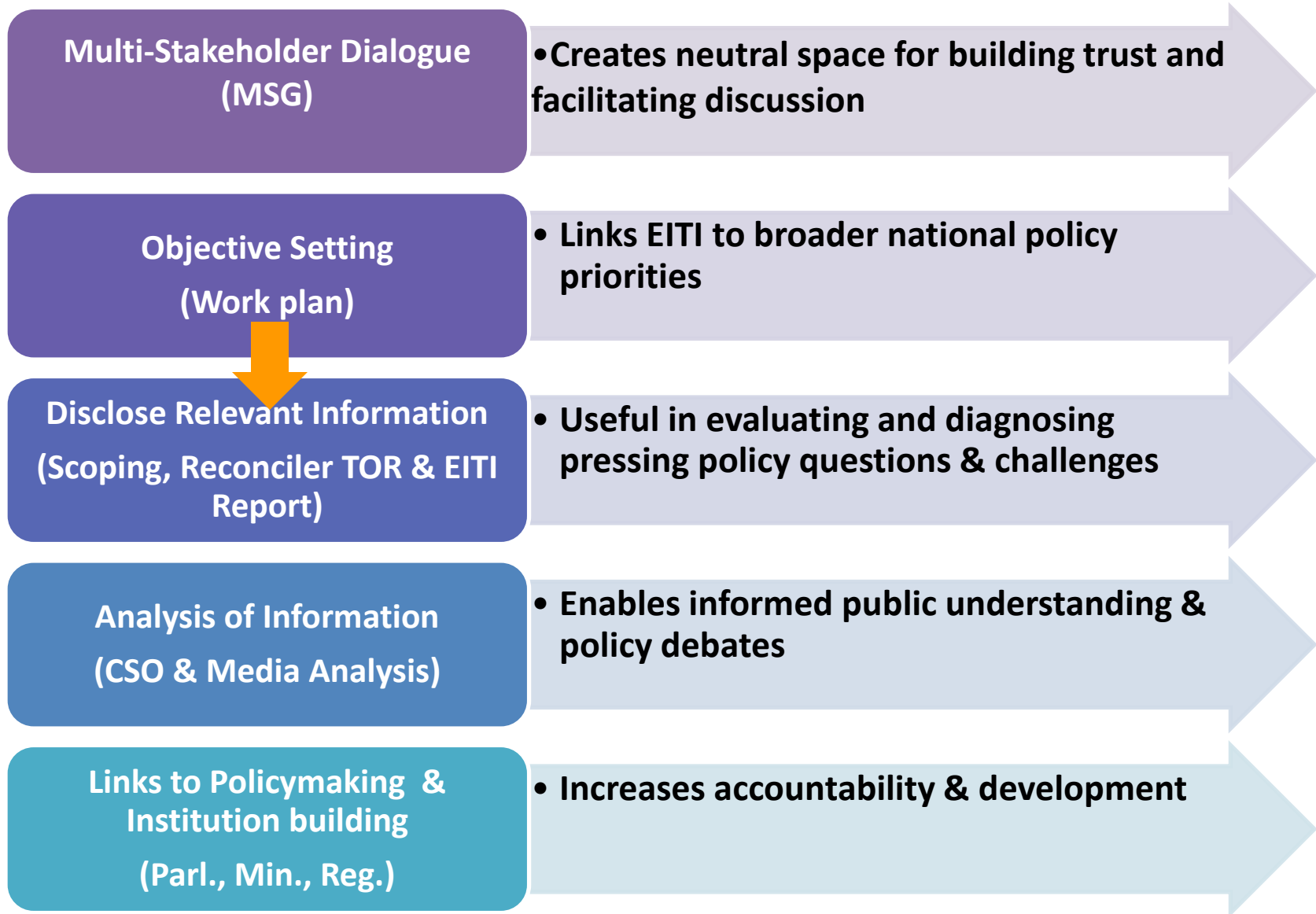


A collage of three images. The left image shows a large ship docked at a port with a long pier extending into the water. The middle image shows a winding road through a desert landscape with some buildings and a small bridge. The right image shows a person working on a computer screen, with a large number '7' visible on the screen.

# How can EITI information impact change?



# How is value captured?

## What information does the EITI capture?



### Allocation of Rights



#### What the EITI requires:

Legal framework & fiscal regime ( § 3.2 )

License award/transfer process & any deviations ( § 3.10)

Register of licenses( § 3.9)

**\*Beneficial ownership ( § 3.11)**

**\*Contract/license disclosure( § 3.12)**

### Relevant links:

- ♦ [Invitation to apply for petroleum production license \(pdf\)](#)
- ♦ [Ministry of Petroleum and Energy](#)

### Guidelines:

- ♦ [Guidelines for applying to the 22nd licensing round \(pdf\)](#)
- ♦ [Guidelines for reporting company information \(pdf\)](#)
- ♦ [Guidelines for application letter \(pdf\)](#)

### Forms that must be filled out:

- ♦ [Application letter table \(MS Excel\)](#)
- ♦ [Form 1 \(MS Excel\)](#)
- ♦ [Form 2 \(MS Excel\)](#)
- ♦ [Form 3 \(MS Excel\)](#)
- ♦ [Form 4 \(MS Excel\)](#) *Updatet 23.10.2012*
- ♦ [Form 5 \(MS Excel\)](#)
- ♦ [Form 6 \(MS Excel\)](#)
- ♦ [Form 7 \(MS Excel\)](#)
- ♦ [Form 8 \(MS Excel\)](#)

### Other application information:

- ♦ [Form 4 attachment\(MS Excel\)](#)
  - ♦ [Map of announced blocks 22. Licensing Round \(pdf\)](#)
  - ♦ [Block coordinates for announced blocks](#)
- 

Example: Norway's oil and gas bidding rounds

<http://www.npd.no/en/Topics/Production-licences/Theme-articles/Licensing-rounds/22-nd->

Company Name	MCC	Hunter Dickinson, Inc.	Kazakhmys Corporation, LLC	Phelps Dodge Corporation, LLC	Strikeforce Limited Cyprus
Total Investment	\$2.9 Billion USD	\$1.7 Billion USD	\$2.2 Billion USD	\$0.9 Billion USD	\$2.4 Billion USD
Production Process ( Phases)	All Production - Concentration, Smelting, And Cathode Copper to be Processed in Afghanistan	Initial Production of Concentrate and Cathode Cu via SX/EW In Afghanistan, Potential for Proprietary Concentrate Leach	All Production - Smelting, And Cathode Copper to be Processed in Afghanistan	Initial Production of Concentrate and Cathode Cu via SX/EW in Afghanistan, Potential for Proprietary Concentrate Leach	Production of Concentrate in Afghanistan
Start Of Production	Initial Heap Leach, SX/EW, Year Five, Based On RFP Year Six	Year Seven	2 Years Heap Leach 4 Years Concentrate	Year Six	Year Four
Maximum Royalty Percentage	19.5%	15%	18.1%	12.5%, Capped At \$100M USD/Yr	7.5%
Energy Production	400 Mw	100 Mw	350 Mw	15 Mw	Import Power from Outside
Environment	World Bank Equator Standards	World Bank Equator Standards	No Commitment	World Bank Equator Standards	World Bank Equator Standards
Bonus To Government Of Afghanistan In Millions	\$808 3 Installments	\$100 Multiple Installments	\$2 1 Installment	\$243 Multiple Installments	\$238 Multiple Installments
Infrastructure ( Railway Investment )	Yes	No	Yes	No	No

Example: Afghanistan Aynak copper tender process

<http://mom.gov.af/en/page/1401>

Allocation criteria & weights		
A	Technical Mine Development Program	20%
B	Financial Benefits to Afghanistan	25%
C	Social/Economic Development	25%
D	Infrastructure Development	10%
E	Environmental Consideration	20%

Example: Afghanistan Aynak copper tender – bid criteria

<http://mom.gov.af/en/page/1401>



- ☐ Attributes
- ☐ Page view
  - ☐ All
  - ☐ Active
  - ☐ Inactive
  - ☐ Ordered by..
  - ☐ With..
  - ☐ **Petroleum register**
- ☐ Table view
  - ☐ Overview
  - ☐ Licensees
  - ☐ Operators
  - ☐ Area
  - ☐ Phases
  - ☐ Transfers
  - ☐ Work obligations
  - ☐ Petroleum register
- ☐ Geography

- ☐ **001**
- ☐ 001 B
- ☐ 006
- ☐ 006 B
- ☐ 006 C
- ☐ 018
- ☐ 018 B
- ☐ 018 C
- ☐ 018 DS
- ☐ 019
- ☐ 019 B
- ☐ 019 C
- ☐ 019 D
- ☐ 024
- ☐ 025
- ☐ 026
- ☐ 026 B
- ☐ 027
- ☐ 027 B
- ☐ 027 C
- ☐ 027 D
- ☐ 027 ES
- ☐ 028
- ☐ 028 B
- ☐ 028 C
- ☐ 028 S

Hide details Show details Map Feedback Export PDF

### ☐ General information (in Norwegian only)

Tillatelse	001
Gyldig fra dato	01.09.1965
Gyldig til dato	01.03.2030
NPDID for utvinningstillatelser	20756

### ☐ Operatorship - current (in Norwegian only)

Selskap	ExxonMobil Exploration & Production Norway AS
---------	---

### ☐ Owner - current (in Norwegian only)

Selskap	Andel [%]
ExxonMobil Exploration & Production Norway AS	100.00000

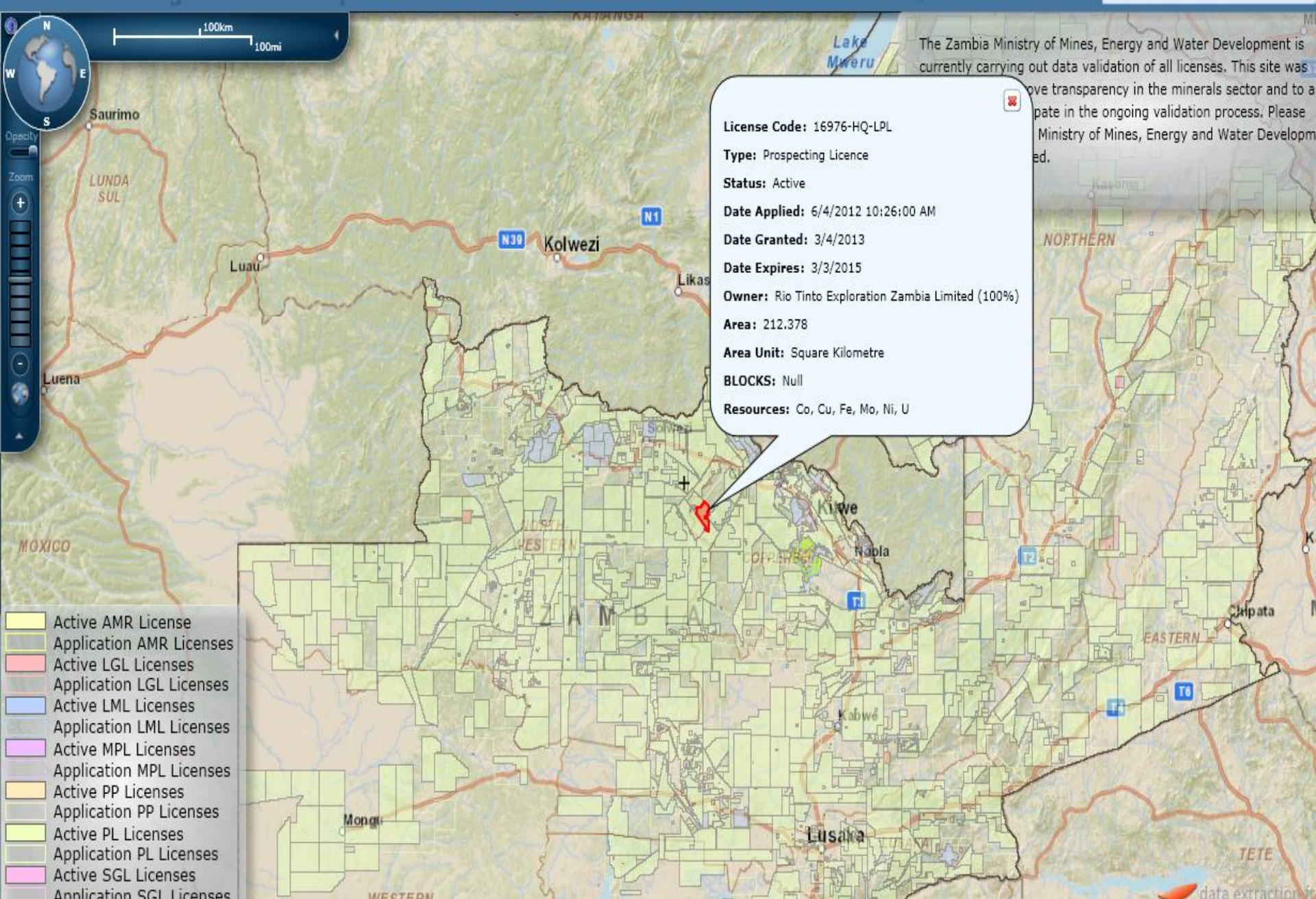
### ☐ Mortgages from the Petroleum register - current (in Norwegian only)

Ingen beftelser registrert

Example: Norway's Petroleum Register

<http://factpages.npd.no/factpages/default.aspx?culture=en&>





Example: Zambia's Online License Database



“Transparency will become one of the major grounds of our success. It will bring about efficient management of the future oil revenues and allow every citizen of Azerbaijan to feel it in his or her daily life”

**ILHAM ALIYEV**

President of the Republic of Azerbaijan

[Home page](#) [About EITI](#) [EITI and Azerbaijan](#) [Validation](#) [Documents](#) [Reports](#) [News](#) [Contact](#)[www.eiti.az](#) > [Documents](#)

## Agreements

### Shafag Asiman perspective structure

---

### Block including Zıgh and Hovsan oil fields

---

### Azeri Chirag Deep Water Gunashli

---

### Three blocks of South West Gobustan

---

### Kedabek, Gosha, Ordubad group, Soutely, Kyzlbulag and Veinaly deposits prospective

Example: Contract disclosure, Azerbaijan

# How is value captured?

## What information does the EITI capture?



### Production Data



#### What the EITI requires:

Exploration activities ( § 3.3)  
Production volumes & values ( § 3.5(a) & § 3.4(e))  
Export volumes & values ( § 3.5(b))  
Economic contribution ( § 3.4(a)-(c))

### Allocation of Rights



#### What the EITI requires:

Legal framework & fiscal regime ( § 3.2 )  
Register of licenses( § 3.9)  
License award/transfer process & any deviations ( § 3.10)

**\*Beneficial ownership ( § 3.11)**

**\*Contract/license disclosure( § 3.12)**

8.3. Production declared by extractive companies

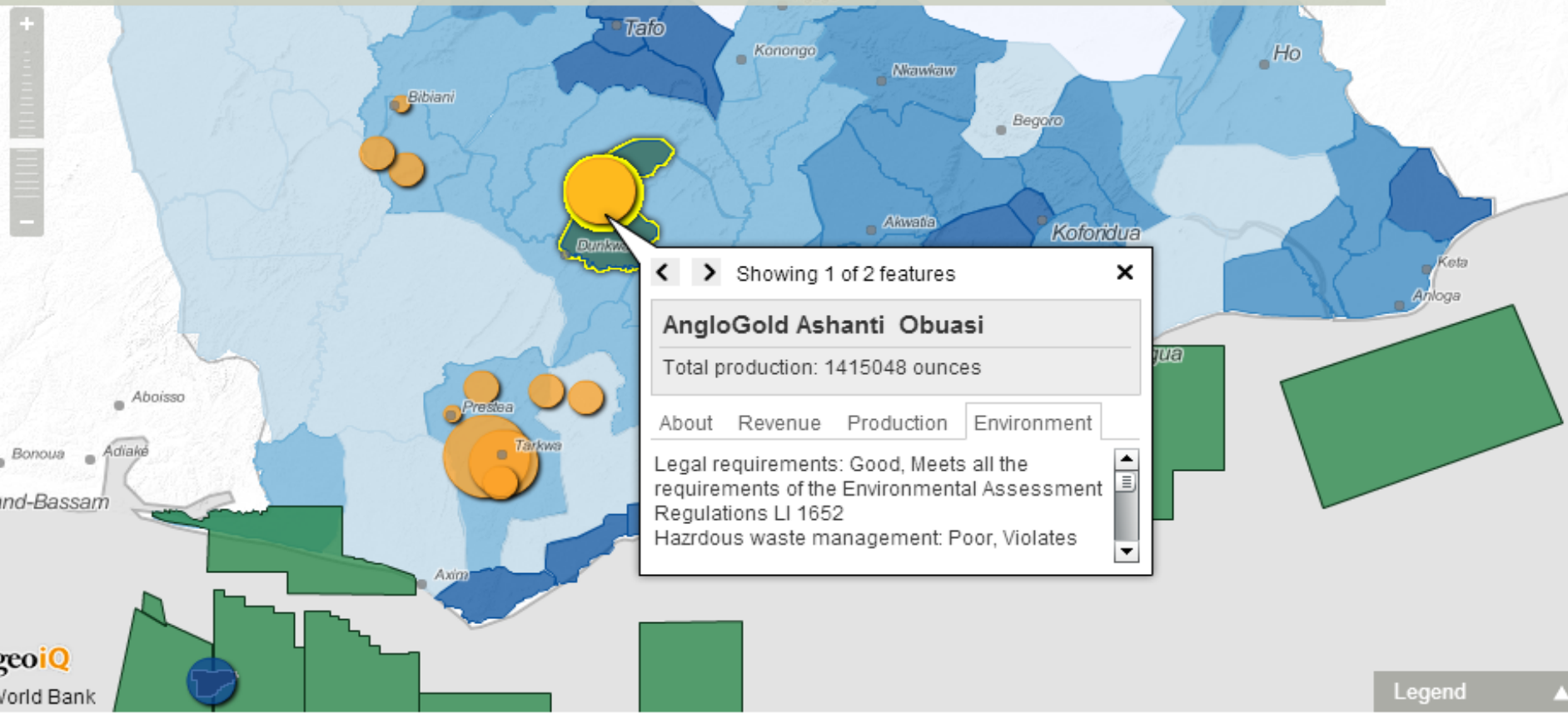
Company	Extracted product	Production			Exportation		
		Unit	Quantity	Amount (USD)	Unit	Quantity	Amount (USD)
Geita Gold Mining Ltd	Gold	OUNCE(OZ)	578,862	963,075,112	OUNCE(OZ)	578 862	963,075,112
Bulyanhulu Gold Mine Ltd	Gold	OUNCE(OZ)	258,083	n/a	OUNCE(OZ)	261 594	441,414,603
Resolute Ltd	Gold	OUNCE(OZ)	115,289	87,222,097	OUNCE(OZ)	109 036	183,363,319
	Silver	OUNCE(OZ)	Unknown	Unknown	OUNCE(OZ)	11 042	369,721
Tanzania Portland Cement Co Ltd			n	n		n	n
Pangea Minerals Ltd (Buzwagi)	Gold	OUNCE(OZ)	169,346	n/a	OUNCE(OZ)	170,734	286,081,319
Pangea Minerals Ltd (Tulawaka)	Gold	OUNCE(OZ)	69,470	n/a	OUNCE(OZ)	68,850	115,264,719
Tanga Cement Company Ltd	Limestone	Tons	768,213	n		n	n
	Pozzolana	Tons	217,714	n		n	n
	Red soil	Tons	81,699	n		n	n
North Mara Gold Mine Ltd	Gold	OUNCE(OZ)	164,107	n/a	OUNCE(OZ)	164,935	277,727,765
Mbeya Cement Company Ltd	Cement	Tons	327,429	8,669,301,069	Tons	62,352	18,680,884,390
Williamson Diamonds Ltd	Diamonds	NC	n	n		n	n
Shanta Mining Company Ltd	Gold	NC	n	n		n	n
Mantra Tanzania Ltd	NC	NC	n	n		n	n
Tanzanite One Mining Ltd	Tanzanite Rough	(Carats)	2,333,050	n/a	(Carats)	1,707,836	9,713,651

BASE MAP: POPULATION DENSITY

Sector:

EXTRACTIVES: PRODUCTION

Mines: Ores: Oil:



Company Name	Resource Type	Company Payments (2004 to 2008)	Government Receipts (2004 to 2008)	Total Difference (Payments - Receipts)	Sustainability Reports Available?
AngloGold Ashanti - Bibiani	Gold	14169108	13805875	363233	No



## 5. Further Transparency

### 5.3 Monthly export quantities and price average for exported crude oil in calendar year 2009 in relation to the American, European and Asian Markets and the Quantity exported through Ceyhan Port & Seniya Depot by SOMO

Month	Quantity exported through Ceyhan Port & Seniya Depot				Monthly export price average (USD)			
	American Market	European Market	Asian Market	Jordan*	American Market	European Market	Asian Market	Jordan **
January	6,248,774.0	9,255,732.0	0	306,688.0	32.5	39.0	-	25.6
February	2,031,739.0	10,292,403.0	0	279,970.0	33.6	39.1	-	25.1
March	2,043,130.0	10,730,058.0	0	309,800.0	48.0	45.4	-	28.5
April	949,526.0	11,005,460.0	0	296,392.0	59.1	49.7	-	32.3
May	2,043,899.0	13,805,057.0	0	309,856.0	62.1	56.7	-	39.5
June	2,620,592.0	12,913,185.0	0	299,854.0	60.6	66.6	-	50.6
July	2,628,295.0	13,956,579.0	0	309,882.0	64.3	63.3	-	46.6
August	6,715,703.0	9,106,094.0	0	309,866.0	66.5	69.9	-	54.8
September	1,903,500.0	12,705,524.0	0	299,848.0	71.7	65.6	-	49.4
October	954,247.0	10,687,151.0	0	309,976.0	76.6	73.3	-	54.8
November	1,411,539.0	10,426,755.0	0	284,048.4	73.6	75.8	-	58.7
December	3,071,629.0	10,354,322.0	0	309,867.3	71.6	73.0	-	56.3
Total by Market	32,622,573.0	135,238,320.0	0	3,626,047.7				
Total	171,486,940.7							

\*Quantities exported to Jordan is via Petroleum Tanks not through Ceyhan Port and Seniya Depot

# How is value captured?

## What information does the EITI capture?



### What the EITI requires:

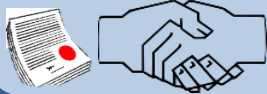
Taxes & primary revenues( § 4.2(a))  
In-kind revenues / oil trade ( § 4.1(c))  
Infrastructure/ barter provisions( § 4.1(d))  
Transportation Revenues( § 4.1(f))



### What the EITI requires:

Exploration activities ( § 3.3)  
Production volumes & values ( § 3.5(a) & § 3.4(e))  
Export volumes & values ( § 3.5(b))  
Economic contribution ( § 3.4(a)-(c))

### Allocation of Rights



### What the EITI requires:

Legal framework & fiscal regime ( § 3.2 )  
Register of licenses( § 3.9)  
License award/transfer process & any deviations ( § 3.10)  
**\*Beneficial ownership ( § 3.11)**  
**\*Contract/license disclosure( § 3.12)**

## Final report on tax and non-tax payments / receipts payers oil and gas and mining sectors of the Republic of Kazakhstan

Search

BIN payer	Name of the payer	Year
<input type="text"/>	Қазақстан Республикасындағы «Total E & P Kazakhstan» / «Тоталь Э Э	2013

Search

Number pp	Payments / receipts	CSC	These Payers							Recipient data EITI reports							
			In cash				In-kind			In cash				In-kind			
			thous. tenge	th. USD	Amounts in thousands. Tenge, the rate of NB RK on the date of transfer	Total amount in thousands. Tenge	tons of crude oil	cu. meters	tons, except crude	thous. tenge	th. USD	Amounts in thousands. Tenge, the rate of NB RK on the date of enrollment	Total amount in thousands. Tenge	tons of crude oil	cu. meters	tons, except crude	thous.
I. Taxes																	
1.	Corporate income tax total, including	101101-101107	856,534.000	0,000	0,000	856,534.000	0,000	0,000	0,000	7282.700	0,000	0,000	7282.700	0,000	0,000	0,000	849,2
1.1.	Corporate income tax resident legal entities, with the exception of revenues from the oil sector enterprises	101101	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000
1.2.	Corporate income tax on non-resident legal entities, with the exception of revenues from the oil sector enterprises	101102	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000
1.3.	Corporate income tax from legal entities resident withholding payments, except revenues from the oil sector enterprises	101103	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000	0,000

## 5.5 Exported crude oil reconciliation between SOMO and buyers for the year 2011

No.	Buyers Name	Amount SOMO US\$	Amount buyer US\$	Difference US\$	Note Reference
1	INDIAN OIL CORP (CHENNAI PETROLEUM CORPORATION)	9,519,012,213	9,519,012,213	-	-
2	SINOCHEN	5,446,406,834	5,529,612,887	(83,206,053)	A
3	CHINA INTERNATIONAL UNITED (UNIPEC)	4,689,487,968	4,658,777,527	30,710,441	B
4	BP Oil	4,665,262,627	4,436,568,308	228,694,319	C
5	CHEVRON	4,563,559,247	4,287,834,897	275,724,350	D
6	CONOCO PHILLIPS COMPANY	3,990,678,560	3,990,678,560	-	-
7	GS CALTEX SINGAPORE PTE. LTD	3,234,486,393	3,371,433,287	(136,946,894)	E
8	VALERO MARKETING & SUPPLY COMPANY	3,035,799,153	2,984,990,107	50,809,046	F
9	SHELL	2,939,664,330	2,890,893,528	48,770,802	G
10	SK ENERGY	2,451,618,356	2,451,618,356	-	-
11	TURKISH PETROLEUM INTERNATIONAL	2,446,832,230	2,446,832,230	-	-
12	NORTH PETROLEUM (ZENHUA Oil)	2,093,506,950	1,988,486,303	105,020,647	P

**Example: Iraq's EITI Report – oil trading**



# Nigeria Extractive Industries Transparency Initiative

## Physical and Process Report: 2009 – 2011 Oil & Gas Audit

### Appendix B: Process for Pricing of Federation Equity Crude Oil

Subject: PPMC LIFTINGS AND PRICES												
S/N	CUSTOMER	B/L DATE	CRUDE TYPE	NOM QTY	QTY LIFTED	VESSEL	UNIT PRICE \$	CRUDE VALUE \$	L/C NUMBER	PRICING OPTION	API	DESTINATION
1	CALSON	02/01/2009	YOHO	105,000	105,126	EVRIKIKI	37.656	3,958,624.66	CI0957250-FPH	ADVANCED	40.35	USGC
2	CALSON	04/01/2009	BL	950,000	949,199	ASTRO PHOENIX	38.513	36,556,501.09	779-01-0066820-c	ADVANCED	33.75	GERMANY
3	CALSON	04/01/2009	QIL	950,000	950,000	TAKAMA	38.513	36,587,350.00	C1Z08015409	ADVANCED	35.76	INDIA
4	CALSON	05/01/2009	BONGA	950,000	958,771	ARIES VOYAGER	38.163	36,589,577.67	DOC-644641-G	ADVANCED	29.92	INDIA
5	CALSON	06/01/2009	BL	950,000	918,610	FRON CENTURY	40.972	37,637,288.92	FONL04M008913	ADVANCED	34.07	GERMANY
6	GLENCORE	11/01/2009	BL	950,000	950,896	FRONT COMMANDER	44.796	42,596,337.22	LCIN4761748	DEFERRED	33.44	USGC
7	CALSON	17/01/2009	ESC	950,000	949,463	IRENE SL	44.296	42,057,413.05	FONL04M009000	ADVANCED	33.25	USGC
8	CALSON	18/01/2009	BB	950,000	949,919	FRONT COMMODOE	44.796	42,552,571.52	LCIM4760735	ADVANCED	36.67	USGC
9	CALSON	19/01/2009	ESC	950,000	949,320	IRENE SL	44.608	42,347,266.56	DOC-644905-G1	ADVANCED	33.05	USGC
10	CALSON	29/01/2009	YOHO	950,000	949,850	COSMERRY LAKE	45.417	43,139,337.45	LM106185LDN	ADVANCED	39.89	USGC
11	CALSON	30/01/2009	ESC	950,000	949,210	ATLANTIC PROSPERITY	45.057	42,768,554.97	LCIM4770666	PROMPT	33.25	USGC
12	CALSON	31/01/2009	BL	300,000	302,833	UNIVERSAL QUEEN	45.642	13,821,903.79	LCIM4767759	ADVANCED	34.60	USGC
	Jan-09				9,883,197			420,612,726.89				

Example: Nigeria's EITI Report – oil trading

# What is the role of SOEs?

## What information does the EITI capture?

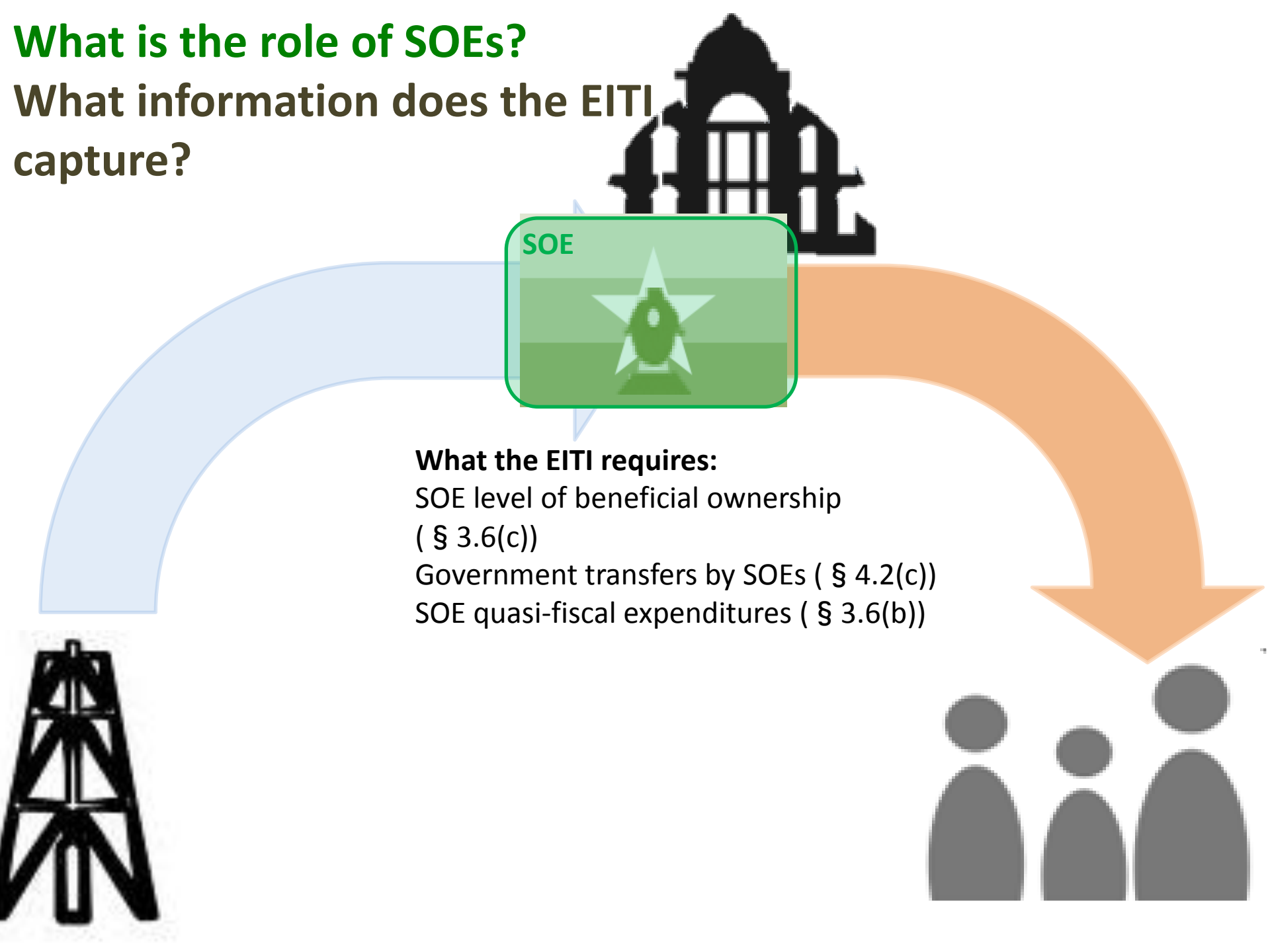
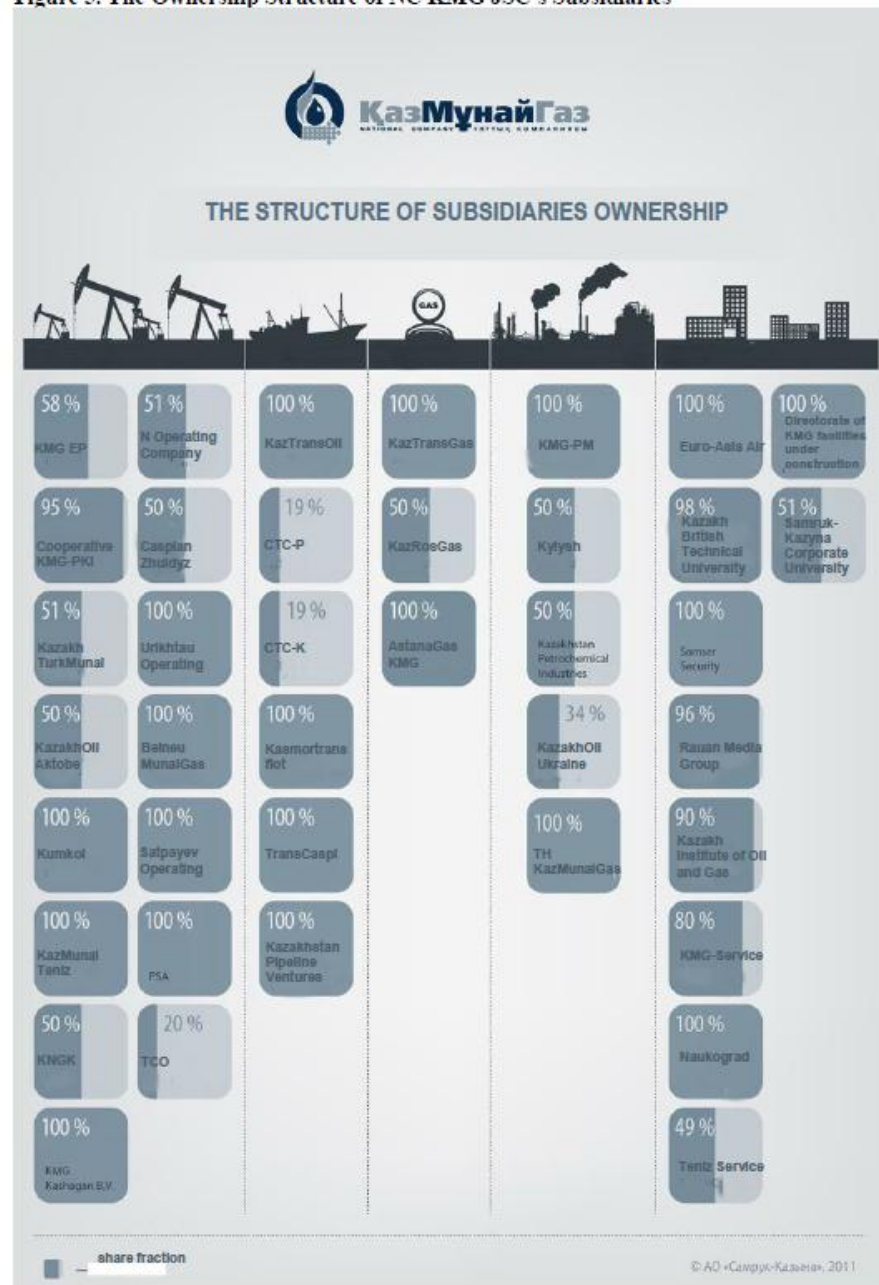


Figure 5. The Ownership Structure of NC KMG JSC's Subsidiaries



Example: Kazakhstan's EITI Report – SOE ownership

# **Physical and Process Audit for the period 2009 to 2011**

## **Appendix D Fuel Subsidy**

**Example: Nigeria's EITI Report – fuel subsidies**

[http://www.neiti.org.ng/sites/default/files/pdf\\_uploads/Appendix-D-](http://www.neiti.org.ng/sites/default/files/pdf_uploads/Appendix-D-)





**Sub-  
National**



**What the EITI requires:**

Direct payments/receipts ( § 4.2(d))

Mandated sub-national transfers ( § 4.2(e))

**Where do benefits go?**

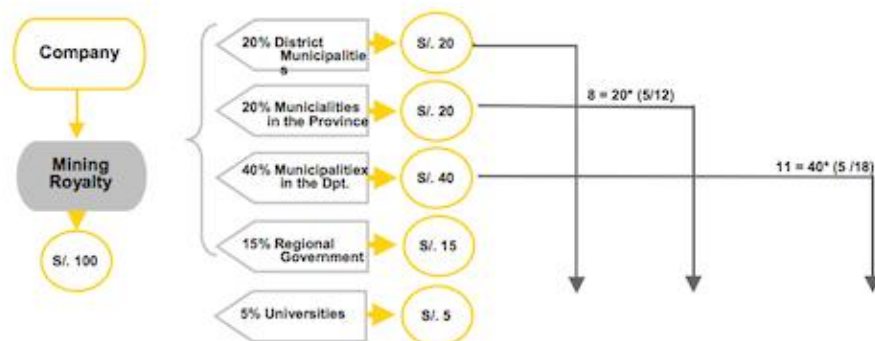
**What information does the EITI  
capture?**



### 2.2.3 Transferring the mining royalty

The PCM informs the Public Treasury of the amounts that will be distributed to local and regional governments, which are calculated based on the total mining canon collected at the national level and the indices of distribution for local and regional governments. Every month the Public Treasury distributes 100% (one hundred percent) of the amount paid for the concept of mining royalties to regional and municipal governments and national universities within 30 calendar days after the last day that the royalty was paid. Deposits are made in the accounts opened for this purpose at the Banco de la Nación.

The following figure provides an example of how distribution is calculated:



Department	Province	District	Population	UBN	Distribution Index (Pop*UBN)	Distribution		
						District Municipalities	Municipalities in the Prov.	Municipalities in the Opt.
Ancash	Huari	San Marcos	10	50%	5	20	8	11
		Chavin de Huantar	5	80%	4		7	9
		Vco	2	50%	1		2	2
		Anra	3	70%	2		3	4
	Santa	Moro	5	80%	4			9
	Casma	Yaután	4	50%	2			5
Total	16 Provinces	100 Districts			18			

When a mining concession is located in two or more districts, distribution is proportional to the percentage of area that the mining concession occupies in the districts.

Peru's regularly updated online reporting system of transfers to [local governments](#) is an example of good practice by which the [Economy and Finance Ministry](#) and local governments disclose transfers. Rules for revenue sharing are defined by legislation and [published](#).

Peru's [2008-2010 EITI Report](#) discloses revenue transfers from the central government to subnational government entities and compares the transfers with the subnational governments' receipts.

Example: Peru revenue sharing



**Sub-  
National**



**What the EITI requires:**

Direct payments/receipts ( § 4.2(d))

Mandated sub-national transfers ( § 4.2(e))

**SI**



**What the EITI requires:**

Employment § 3.4(d)

Mandated social payments ( § 4.1(e))

**Where do benefits go?**

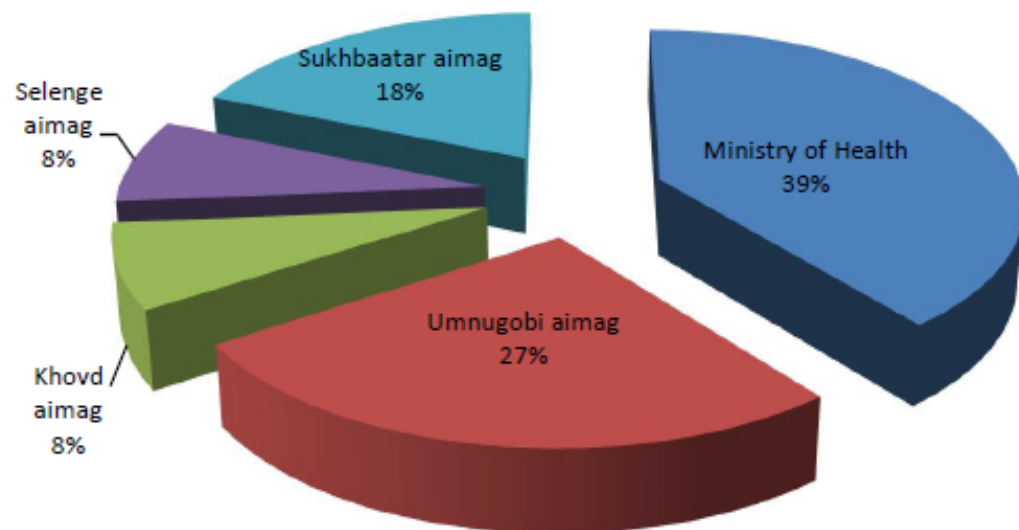
**What information does the EITI  
capture?**



## 6.5 Analysis of donations and assistance paid to state entities

Donations and assistance made by extractive companies to state entities amounted to **KMNT 14,518,876**. We set out in the table below details of these contributions by recipient. Full details by type of donation and by recipient are presented in Annex 7.

Recipient	Extractive companies payments K MNT	% of total payment
Ministry of Health	5,696,028	39%
Umnugobi aimag	3,863,259	27%
Khovd aimag	1,133,052	8%
Selenge aimag	1,125,784	8%
Other	2,700,753	18%
<b>Total</b>	<b>14,518,876</b>	<b>100%</b>







### Sub-National



#### What the EITI requires:

Direct payments/receipts ( § 4.2(d))

Mandated sub-national transfers ( § 4.2(e))

### SI



#### What the EITI requires:

Employment § 3.4(d)

Mandated social payments ( § 4.1(e))

## Where do benefits go?

## What information does the EITI capture?



#### What the EITI requires:

Revenues recorded & *not* recorded in national budget ( § 3.7)

**\*Earmarked revenues & budget/audit processes ( § 3.8)**





- Take decisions on the scope of the 2012/2013 EITI Report
- Recruit Independent Administrator

## To consider:

- Engaging new actors in the MSG ?
- Reviewing the legal basis for EITI Indonesia?
- MSG seminar on the EITI Standard ?
- 2015 workplan activities:
  - Priority areas of the EITI Standard? Challenges?
  - Short analytical reports on key topics?
  - Subnational multi-stakeholder forums?
  - Preparing for the fourth EITI Report (FY 2014)
- Online EITI data portal ?

